LANTHANUM AND NICKEL RECOVERY FROM SPENT CATALYST USING CITRIC ACID: QUANTITATIVE PERFORMANCE ASSESSMENT USING RESPONSE SURFACE METHOD

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Abstract

Heavy metals and rare earth elements extraction from secondary sources (spent catalyst, industrial solid waste, fly ash) has become an alternative due to environmental issue and shortage of primary sources. Considering those facts study on lanthanum and nickel from spent catalyst using acetic acid has been conducted. The raw material used in this work is spent catalyst from Pertamina Refinery Unit VI, Balongan. The spent catalyst is decarbonized with a heat treatment at 725 °C for 10 minutes before the leaching process. The leaching process was done with varied temperature and acid concentration. The results were assessed by RSM (response surface methodology) and were proved to be a reliable method to depict and analyze the leaching characteristics. The molarity of the citric acid is the most significant independent variables used in the research for lanthanum recovery response. However, based on the Pareto analysis result, there are no significant variables that affect the recovery of nickel. The second order polynomial fitting model was also proved to be compatible with the response of lanthanum recovery but was less compatible with nickel recovery. Furthermore it is found that the optimum operating condition for lanthanum extraction (100% recovery) is at pH 2 and temperature of 45 °C in which nickel obtains optimum recovery of 60% aside from different leaching characteristic.

Keywords: Leaching, spent catalyst, lanthanum, nickel, RSM (response surface method)
1. INTRODUCTION

The sharp growth of demand for electronic devices such as tablet PCs, smart phones, and other gadgets in the last years leads to a huge demand for valuable metals (gold, copper, lithium, cobalt, nickel, etc.) and REEs (rare earth elements) [1]-[4]. On the other hand, due to their special characteristics, rare earth materials are also nowadays important part of the catalysts used in petroleum chemical industries [5]. However, aligned with its frequent use, the waste generated is also rising by a significant amount. Disposal by landfilling is the oldest and was the most widely used method years ago. Due to the increased of consciousness to environmental protection and more strict environmental regulations, landfilling is, fortunately, less and less used. The issue is that liability still belongs to the waste producer as long as this waste has not been destroyed, and thus lasts for long term [6]. Today the wastes are becoming a serious global threat [7]. This is because spent catalysts are composed of heavy metals and REEs such as iron, chromium, nickel, palladium, manganese, and lanthanum. Instead of using new raw materials, there is a better choice that is extracting valuable metals and REEs from secondary raw materials such as the waste of spent petroleum catalyst.

The special characteristics of REEs make them being considered as highly valuable metals. Nowadays, their price and presence have become a serious concern to many countries which also led to the rapid studies and researches for their extraction from secondary sources [8]-[10]. In this experiment the main constituent in the spent catalyst which is nickel and a REE element lanthanum are the main focus.

Heavy metals and REEs extraction from industrial wastes becomes important because any disposal may affect the environment. Previous research by Jinxia et al. [11], stated that lanthanum may affect the soil ecosystems at a concentration slightly above natural condition level (6.6-50.0 mg La/kg dry soil). A study by Abhilash et al. [12], found that the maximum recovery of lanthanum (99.9%) from Indian red mud can be achieved by leaching using 3 M of H2SO4 at temperature of 35 °C. Another study by Kuang et al. [13], stated that among inorganic acids such as H2SO4, HNO3, HCl, HCl was the most effective stripping agent. A research by Parhi et al. [14] shows that the recovery of nickel (up to 99%) from Ni-Al2O3 spent catalyst is achievable by leaching hydrochloric acid with concentration range of 0.025 to 2 M. Another study by Astuti et al. [15] on REE extraction from spent catalyst also stated that lanthanum can also be extracted to as high as 90% by using sulfuric acid. In addition to that the sustainability issue has been the major consideration of applying organic acids in leaching technique on both primary and secondary resources [16]-[18].

However, there is still lack of study in terms of REEs extraction, from spent catalyst using organic acid. In this regard, this work was done to study the effect of temperature and acid concentration on lanthanum and nickel extraction from spent catalyst using citric acid using response surface method (RSM).

2. EXPERIMENTAL
2.1 Raw Material Characterization

Spent catalyst as the raw material used in this experiment was obtained from PT. Pertamina Refinery Unit VI, Balongan, Indramayu, Indonesia. The obtained spent catalyst was then calcined in a muffle furnace to remove the carbon content from this material. The calcination process for the de-carbonization of spent catalyst was conducted at 725 °C for 10 minutes. The calcined spent catalyst powder was then analyzed using EDS (energy dispersive spectroscopy) for its elemental content as shown in Table 1 and XRD (x-ray diffraction) as it can be seen in Figure 1.

Table 1. EDS result of calcined spent catalyst

<table>
<thead>
<tr>
<th>Constituents</th>
<th>% Mass</th>
</tr>
</thead>
<tbody>
<tr>
<td>Al</td>
<td>50.66</td>
</tr>
<tr>
<td>Si</td>
<td>40.17</td>
</tr>
<tr>
<td>La</td>
<td>3.56</td>
</tr>
<tr>
<td>Ni</td>
<td>2.66</td>
</tr>
<tr>
<td>Pr</td>
<td>0.77</td>
</tr>
<tr>
<td>Fe</td>
<td>0.59</td>
</tr>
<tr>
<td>Ca</td>
<td>0.49</td>
</tr>
<tr>
<td>S</td>
<td>0.47</td>
</tr>
<tr>
<td>P</td>
<td>0.33</td>
</tr>
<tr>
<td>K</td>
<td>0.20</td>
</tr>
<tr>
<td>Co</td>
<td>0.09</td>
</tr>
<tr>
<td>Zr</td>
<td>0.01</td>
</tr>
<tr>
<td>Sr</td>
<td>0.01</td>
</tr>
</tbody>
</table>
Lanthanum and Nickel Recovery from Spent Catalyst

2.2 Leaching Experiment

The leaching experiment was conducted by mixing the calcined spent catalyst with solution of citric acid with various concentrations (0.1 M, 1 M and 2 M). 10 grams of calcined spent catalyst was poured into an Erlenmeyer flask containing 50 mL of citric acid solution. The leaching process was conducted for 4 h in a waterbath equipped with shaker with varying process temperature (30, 60 and 80 °C). The set up of the leaching experiment is described in Figure 2.

2.3 EDS Analysis of Leachate

At the end of the leaching process, the obtained leachate was filtered from the remaining unreacted spent catalyst using filter paper. The unreacted spent catalyst was washed and dried in an oven at 50 °C for 5 h. The dried spent catalyst was then analyzed using EDS for its metal content. The summary of the leaching experiment flowsheet and preparation of sample analysis is described in Figure 3.

![Figure 1. XRD pattern of the hydrocracking spent catalyst](image)

![Figure 2. Set up of the spent catalyst leaching experiment using citric acid in shaker waterbath](image)

![Figure 3. Flow diagram of the leaching experiment and sample preparation](image)

One of the constituents in spent catalyst is silica which is widely considered as an inert material in the leaching process using acidic solution. Leaching using acid has been generally used to purify leach heavy metals in silica purification process [19]-[20]. As EDS data in the form of weight percentage, in order to calculate the mass of metals in the residue, the mass of silica in the residue is assumed to be the same as the mass of silica before the leaching process. The mass of observed metal can then be derived from the weight percentage difference of silica and observed metal using the following equation.

\[
W_i = \frac{\% w_{Metal}}{\% w_{Silica}} \times \text{Weight of Silica}
\]

The equation for the recovery of REE from spent catalyst was then formulated as follows.

\[
\%R = \frac{W_0 - W_t}{W_0} \times 100
\]

With %R as the recovery percentage of metal. \(W_0\) and \(W_t\) as the weight (mg) of metals contained in the spent catalyst before leaching process and in the leaching residue, respectively.
2.4 Design of Experiment and Statistical Analysis

In order to better understand the effect of observed variables on the recovery of REE as the dependent variable, the use of REM (response surface methodology) was employed. A simple three-level factorial design was employed on two variables (acid molarity and temperature) which was assigned as independent variables. The recovery percentage of the metals from spent catalyst was assigned as dependent variable. Experimental range and level of independent variables used in the design of the RSM analysis is shown in Table 2.

Table 2. Experimental range and level of independent variables

<table>
<thead>
<tr>
<th>Independent variables</th>
<th>Range and level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acid Molarity, M (X₁)</td>
<td>+1 0 -1</td>
</tr>
<tr>
<td>T, °C (X₂)</td>
<td>30 60 80</td>
</tr>
</tbody>
</table>

A second-order polynomial equation was used to study the trend of the response (dependent variable) and the factors (independent variables). The polynomial equation can be described by using basic general equation as follows [21]:

\[ Y = \beta_0 + \sum_{i=1}^{k} \beta_i x_i + \sum_{i=1}^{k} \sum_{j=1}^{k} \beta_{ij} x_i x_j + \varepsilon \]  \hspace{1cm} (3)

with Y as the response; \( x_i \) and \( x_j \) as the factors (i and j are the range from 1 to k); \( \beta_0 \) as the constant coefficient; \( \beta_i \), \( \beta_{ij} \) as the coefficients for the linear, quadratic and interaction effect, respectively and \( \varepsilon \) as the error value.

The accuracy of the mathematical model used RSM was described using the coefficient \( R^2 \) with value ranging from 0 to 1. The closer the value of coefficient \( R^2 \) to 1, the more accurate the model [22]. The responses (\( Y_i \)) in this mathematical model are the recovery percentage of lanthanum (\( Y_1 \)) and nickel (\( Y_2 \)).

3. RESULT AND DISCUSSION

3.1 Leaching Phenomenon and Statistical Analysis

There are two responses that were assessed in this work: lanthanum recovery (\( Y_1 \)) and nickel recovery (\( Y_2 \)). Using the analysis method of the raw material and calculation in Equation (3), the experimental results are obtained as shown in Table 3.

The empirical relationship between responses and the independent variables are expressed in the second order polynomial equations as it is introduced in equation (3). The model fitting was performed using Minitab software.

\[ Y_1 = -113.6 + 68.6X_1 + 1.44X_2 - 16.7X_1^2 - 0.0095X_2^2 - 0.051X_1X_2 \]  \hspace{1cm} (4)

\[ Y_2 = 9.8 + 3.8X_1 + 1.36X_2 + 4.0X_1^2 - 0.0133X_2^2 - 0.086X_1X_2 \]  \hspace{1cm} (5)

The correlation of metal recovery of both lanthanum and nickel as a function of acid concentration and temperature as shown in equation 4 and 5 enabling to determine the optimum operating condition. In case of lanthanum, recovery of 100% can be achieved at optimum operating condition; temperature of 45 and pH 2. At the same optimum operating condition, it was found that recovery of nickel is 60%. This finding resembles the characteristic of lanthanum and nickel extraction from hydrocracking spent catalyst inorganic acid [15].

The highest recovery of both metals using low concentration of organic acid in comparison to the high concentration of inorganic acid [15] is due to the mechanism of leaching with organic acid that is not only dominated by the existence of proton (H⁺) but also there is metal chelation complex formation. In case of citric acid, there are three carboxylic groups resulting three stages of partial dissociation with \( Ka < 1 \) [23]:

The partial dissociation will be in accordance with the equation 6.

\[ C_6H_{12}O_7(\text{aq}) \leftrightarrow C_6H_{12}O_7^- + H_+ \quad \text{K}_a = 7.5\times10^{-4} \]  \hspace{1cm} (6)

\[ C_6H_{12}O_7^- \leftrightarrow C_6H_{12}O_7^{2-} + H_+ \quad \text{K}_a = 1.7\times10^{-5} \]

\[ C_6H_{12}O_7^{2-} \leftrightarrow C_6H_{12}O_7^{3-} + H_+ \quad \text{K}_a = 4.0\times10^{-7} \]  \hspace{1cm} (6)
While the proton attacks \((3H^+)\) to metals in the hydrocracking catalyst is shown in equation 7.

\[
M_xO_y(aq) + 2yxH_y^+(aq) \rightarrow M_x^{y+}(aq) + H_2O(l) + (x - 2y)e^- \tag{7}
\]

And then further complexation/chelation occurs in accordance with equation 8.

\[
3M_x^{y+}(aq) + yC_6H_5O_7^{2-}(aq) \rightarrow M_x(C_6H_5O_7)_y \tag{8}
\]

Somehow the recovery of nickel is lower than that of lanthanum is due to the different association of both elements. As it can be seen from Figure 1, the nickel is associated with silicate mineral which is much more difficult to extract even using inorganic acid [15].

### 3.2 Effect of the Independent Variables

The significant effect of the independent variables to the responses are assessed by Pareto chart. The results were processed using Minitab software. In the Pareto chart, bars that cross the reference line (the dotted-red line) are statistically significant for the calculated response. The bars represent the factors that are created in Equations (4) and (5). The results of the Pareto chart are shown in Figure 4 and 5.

From figure 4 and 5, it can be seen that the acid concentration provides significant effect on this extraction process although in nickel extraction it was found insignificant for both acid concentration and temperature.

![Figure 4. Pareto chart showing the standardized effect of independent variables and response Y₁](image-url)
Figure 5. Pareto chart showing the standardized effect of independent variables and response \( Y_2 \).

Figure 6. Contour plot showing the effect of independent variables on lanthanum recovery.
In order to be able to depict the recovery distribution along with the incremental variation of both variables contour plots of both lanthanum and nickel extraction are presented in Figure 6 and 7. The responses present the influence of the two variables in the process. This contour plots were also generated from Minitab software to describe the effect visually.

From figure 6, it shows that the higher the temperature, the higher the recovery of lanthanum. At higher acid concentration, the lanthanum recovery decreases due to the absent of metal complex formation [23]. About the same tendency was observed in nickel recovery as shown in Figure 7. However, at higher temperature the nickel recovery decreases that might be due to the nickel association with silicate at which high temperature will make the bond is stronger.

### 3.3 Assessment of Models’ Accuracy

It is important to ensure the accuracy of the model to verify the proposed model. The accuracy of the proposed model compared to the experimental data can be expressed by coefficient of correlation ($R^2$). The coefficient of correlation will be compared between the experimental result of the responses and the model’s result. Visually, the correlation is shown in Figure 8 and 9.

From the $R^2$ values obtained from this study at which lanthanum recovery represented by the response $Y_1$ gives a more compatible result with $R^2$ value of 0.8654 than that of the nickel
recovery that is represented by the response $Y_2$ with $R^2$ value of 0.5243.

4. CONCLUSION

In this research, a three-level response surface design is proved to be effective in determining the most significant independent variable to the response of $Y_1$ (lanthanum recovery). The molarity of the citric acid significantly affects the leaching result of lanthanum. However, based on the Pareto analysis result there are no significant variables that affect the response of $Y_2$ (recovery of nickel). The second order polynomial fitting model is also proved to be compatible with the response of $Y_1$ but is less compatible with $Y_2$. Using citric acid, nickel and lanthanum can be extracted from the spent catalyst with recovery as high as 60% and 100%, respectively at optimum operating condition at temperature of 45 °C and pH 2. The equation that represents the significance effect of variables can be formulated in accordance with the equation 4 and equation 5 and can be used to predict the performance of the extraction of nickel and lanthanum from spent catalyst by using citric acid.

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